

Uttarakhand – Proactive Governance for Supporting Business

Chief Secretaries' Conclave

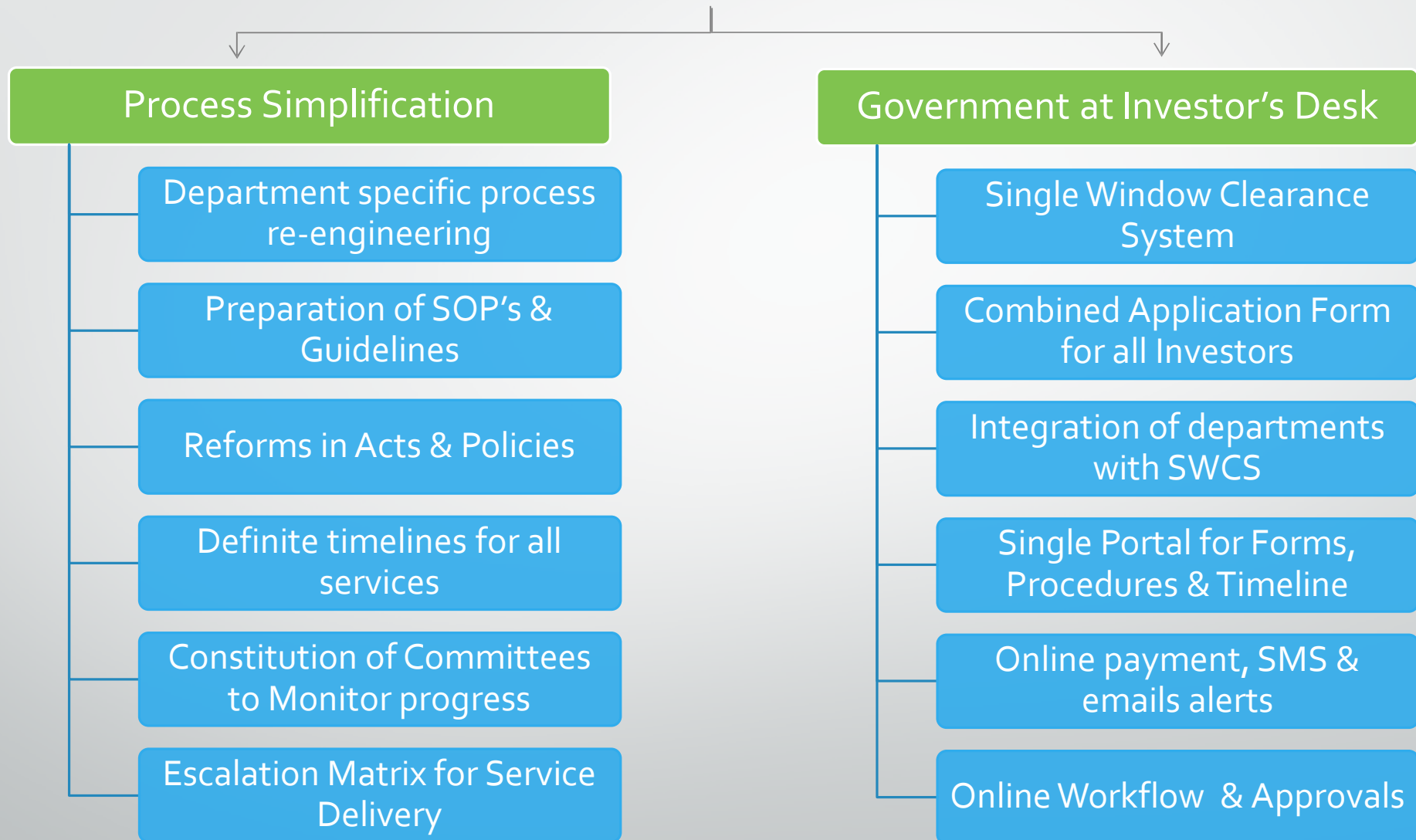
17 September 2016

Agenda

- Initiatives for Ease of Doing Business
 - Approach for improving EoDB
 - Time-bound Approval Mechanism
 - Single Window System
 - Deregulating Inspection-raj
 - Initiatives for Process Simplification across the Departments
- Initiatives for Improving Governance for Business
 - Ensuring timelines through Legal Framework
 - Transparent governance framework
 - Industry-friendly policies
- Initiatives for Skilling

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Time-bound Approvals



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Time-bound Approvals

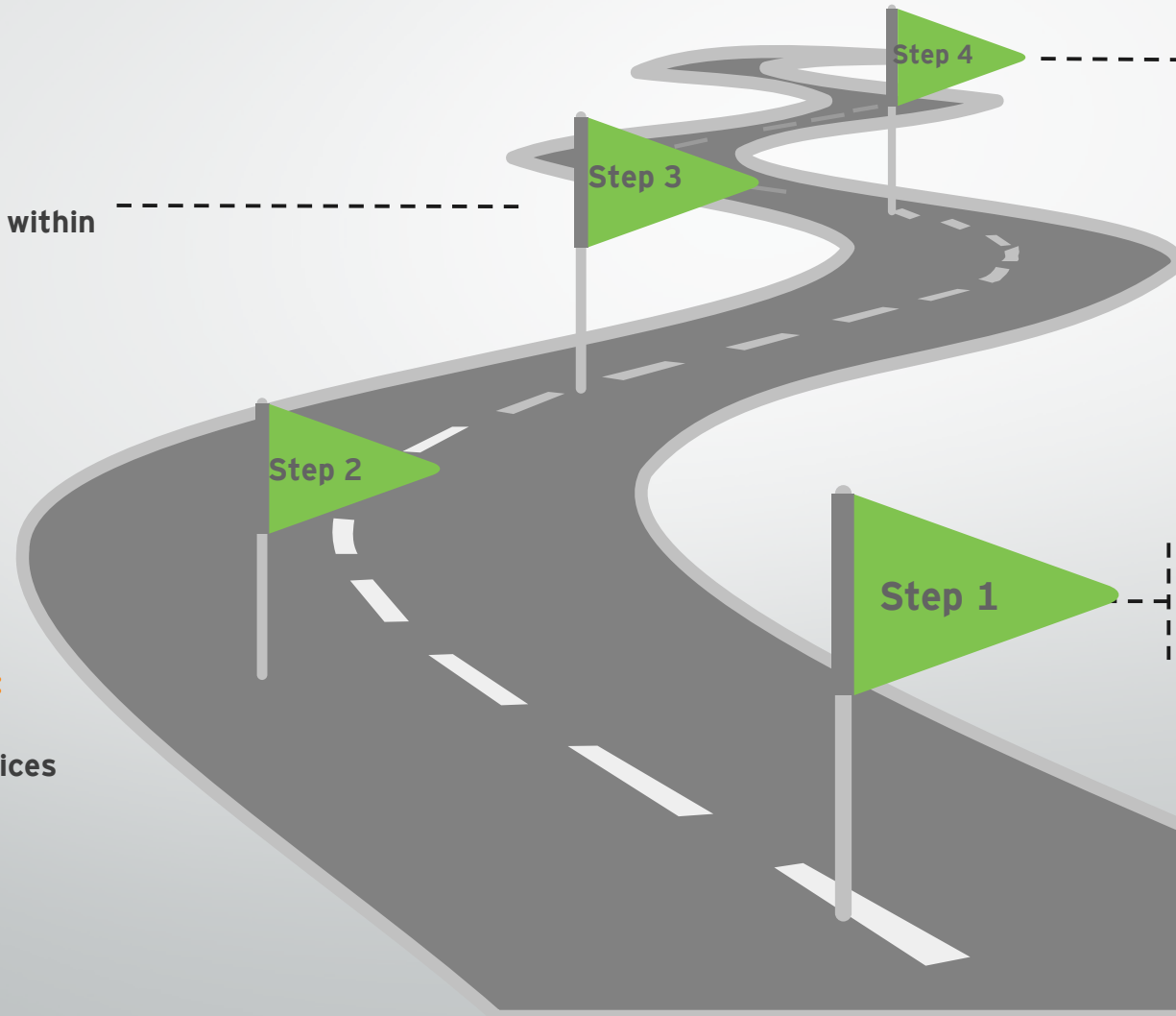
Time-bound approvals with provision for penalty and grievance handling

Pre-Operations:

Approvals for pre-Operations services within 30 days

Pre-Establishment:

Approvals for pre-establishment services within 15 days



During Operation:

Time-bound approval under the Right to Service Act (More than 100 Services covered)

In-Principle Approval :

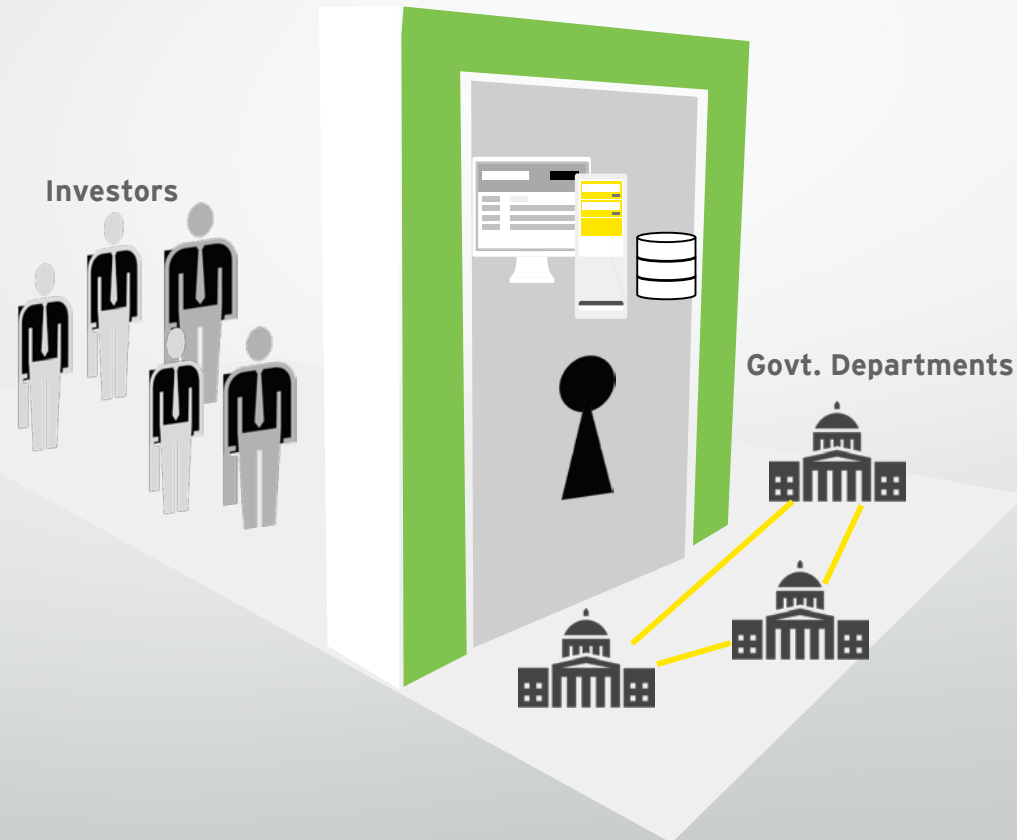
Decisions on Project Proposals within 15 days by State Empowered Committee / District Empowered Committee

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Single Window System

www.investuttarakhand.com

- Common Application Form (CAF) for all Investors
- Online approval on the basis of Investor profile and CAF
- Automated Alerts/acknowledgement via email/SMS
- Tracking application status by Unique number
- Work flow based routing to various departments
- Escalations to Empowered Committee for pendency



- Comprehensive checklist of all NOCs, licenses, registrations
- Interactive system to identify approvals required for starting a business
- Easy access to Procedure, Timelines information and Formats for approvals
- Guidelines, forms, FAQs, info on new schemes, land parcels
- Comprehensive MIS reports/Dashboard
- Query management & grievance redressal

Key functionalities of the Single Window System

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Deregulating Inspection-raj

Promoting Voluntary Compliance

- ▶ Third Party Certification for inspection under Labour Laws, Boilers & Factories Act.
- ▶ Third Party Certifications for PCB Compliance Inspections
- ▶ Online database of Trees in Industrial areas to facilitate Self-Certification for tree felling NOC
- ▶ Joint Site Inspection for all Construction Permits
- ▶ Third Party Certification to allow approvals during the construction and completion stage through Empanelled Architects and Empanelled Structural Engineers
- ▶ Self Certification for Low Risk & Medium Risk buildings through Computerised Risk Based Classification

Reducing Compliance Cost

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Process Simplification across Departments



Directorate of Industries

The state directorate of industries acts as the nodal agency for facilitating the business activities in the state

- || An investor / businessman toll-free helpline – 18002701213
- || State-wide State udyog mitra cells for supporting the industries
- || Comprehensive list of all required pre-establishment, pre-operations, post-operations and voluntary no-objection certificates, licenses, registrations and approvals*



SIIDCUL

State Industrial Development Corporation of Uttarakhand (SIIDCUL) is the primary agency which promotes and develops industrial infrastructure

- || Geographic information system of all the land banks available in the state (www.siidculsmartcity.com) with unique filters to search for vacant lands based on industry type and area required.
- || Online application for water connection in SIIDCUL regulated industrial areas*
- || Objective Criteria for land allotment to ensure land parcels are allotted in a timely and transparent manner*
- || Online Application for plot transfer, reconstitution, name change, product change, product addition
- || Online helpdesk to resolve queries regarding business services provided by SIIDCUL



SIDA & Housing

The State Industrial Development Authority and Housing department in the state are responsible for Issuing building permits for industrial and commercial establishments

- || Unified building bye laws for all the regulations governing the construction of buildings
- || Self-Certification for low risk & medium risk buildings through the creation of computerised risk based classification
- || Empanelled architects for speedy completion certificate of all buildings

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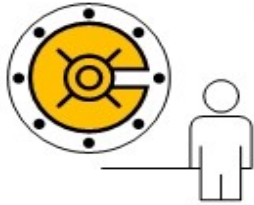
Process Simplification across Departments



UEPPCB

Uttarakhand environment protection and pollution control board (UEPPCB) is the board that ensures adherence to environment regulations

- || Auto renewal of consent to establish for all categories subject to conditions
- || Auto renewal of consent to operate for selected green categories of industries subject to conditions
- || Online application for all consents*
- || Comprehensive inspection reforms including self-certification in selected green categories of industries



Commercial Tax / Entertainment Tax

These departments deal with indirect tax collections

- || Single day registration for various taxes
- || Single ID for various taxes
- || Online returns, payment, refund for all taxes
- || Clear / transparent parameters for selecting returns for assessment
- || Other advantages : No commercial tax check post , no professional tax in the state , dedicated help-line / help desks to facilitate applicants and dealers



Labour

The state labour department ensures compliance of all industries under various labour laws

- || Third party audit for industries classified as high and medium risk
- || Self- certification industries classified as low risk industries
- || Single Integrated return for all labour laws
- || Online system for registration and renewal for services under various labour, factories and boiler laws*



UPCL

Uttarakhand Power Corporation Limited is the primary electricity distribution corporation in the state

- || New connection in 15 days where no new infrastructure is required
- || Only two documents required for new connection online application for both low and high tension connections*
- || Use of modern supervisory control and data acquisition system to ensure reliable supply of electricity
- || Online tariff information with easy customer login account

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Transparent governance framework

Objective Land Allotment Criteria for Industrial Parks

Online Land Allotment / Utilities Connection / NOC's / Clearances

Online "Change of Land Use" with tracking of application

Facility of third Party verification of issued NOCs / Clearances of Major Departments

Clear SOP's and Approval Mechanism in Public Domain

Stakeholder consultation before any Business Related policy Change

**Promoting
Transparency**

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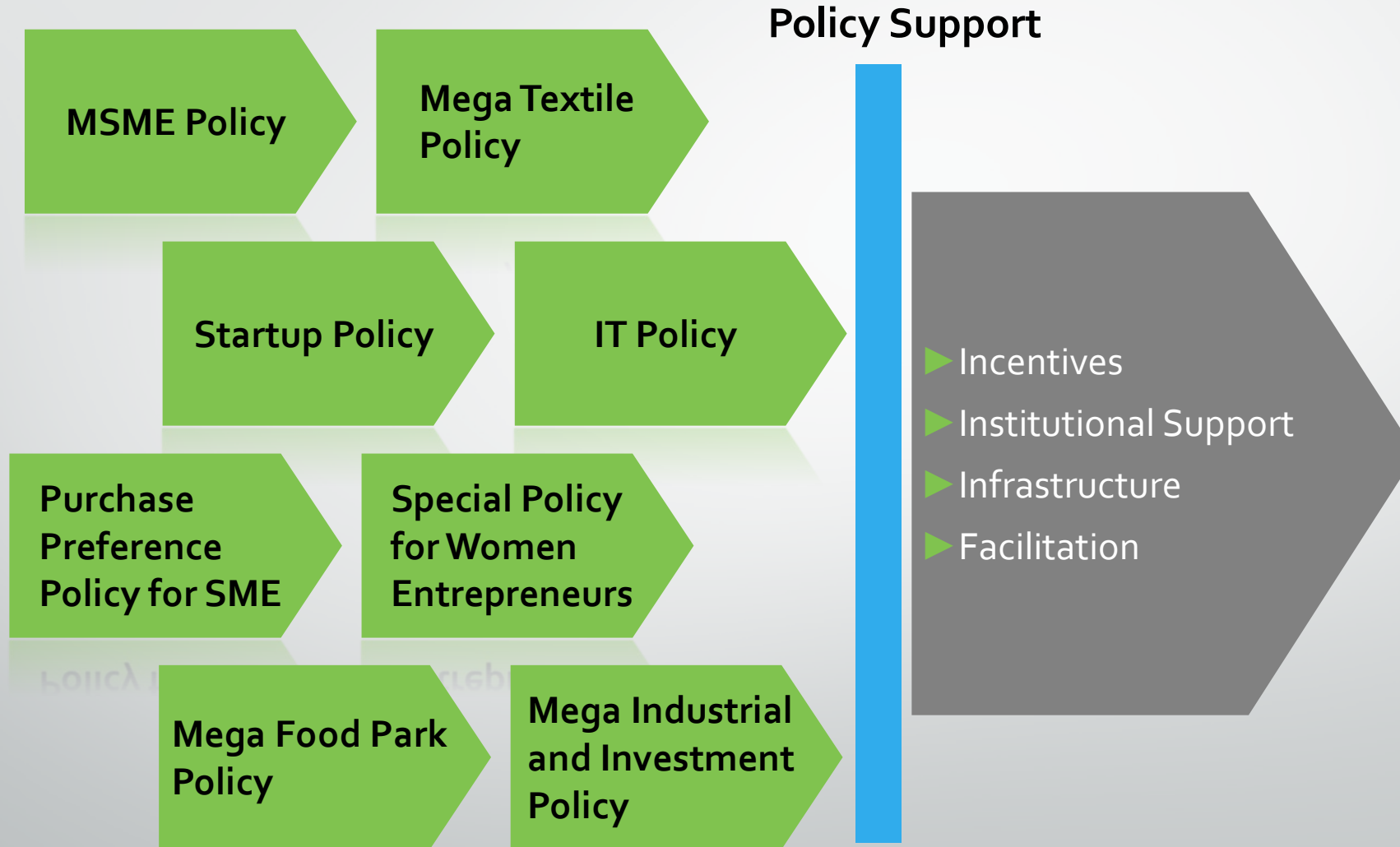
Ensuring timelines through Legal Framework

The Uttarakhand Single Window Facilitation and Clearance Act, 2012

Time Bound Service Delivery

The Uttarakhand Right to Services Act, 2011

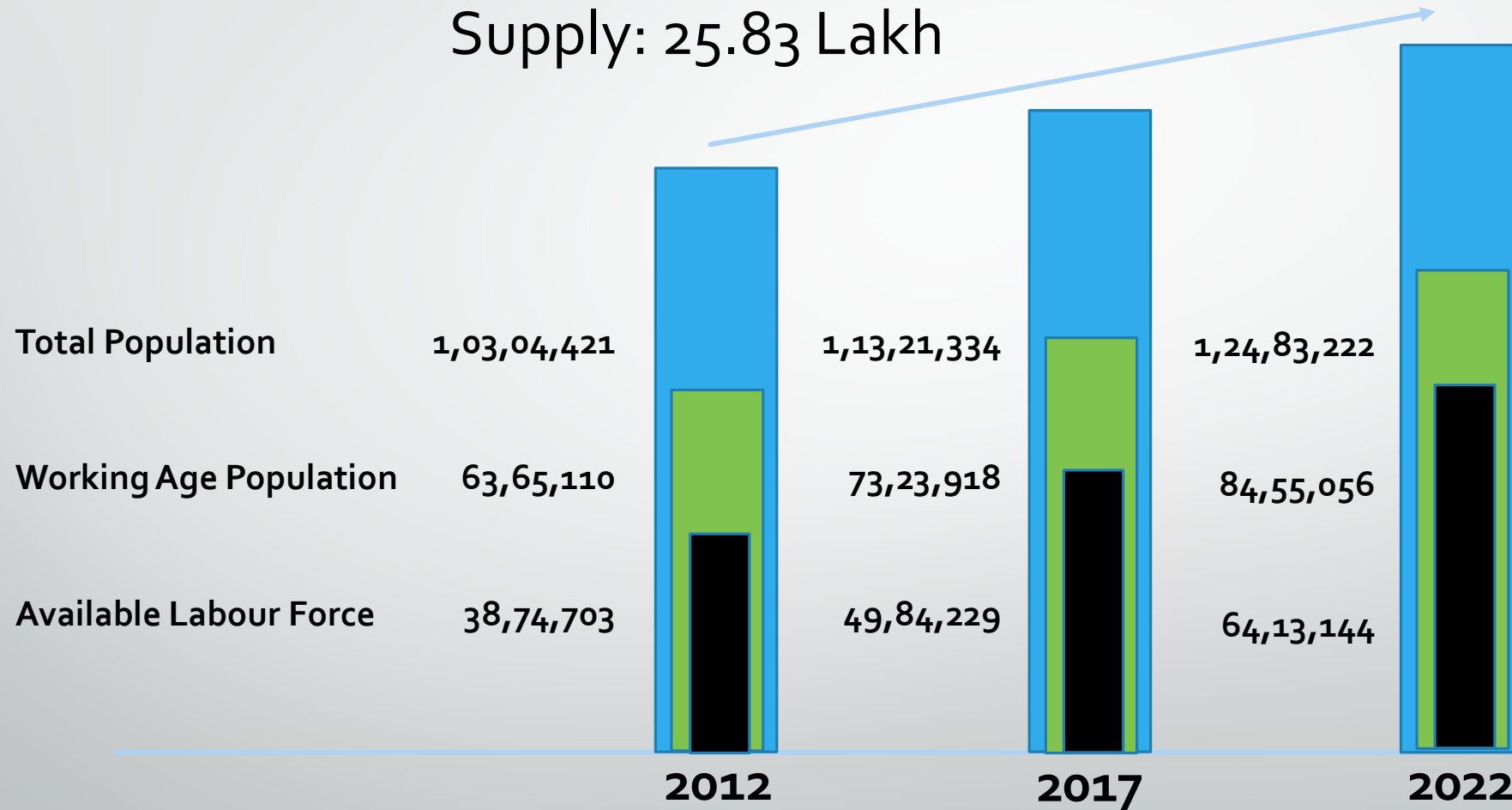
Industry-friendly policies



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Skilling the Working Population

Incremental Manpower
Supply: 25.83 Lakh



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Initiatives for Education

- Home of Asia's best and first engineering college : *IIT Roorkee (previously known as Thompson college of engineering) . With courses ranging from engineering , medical and management fields.*
- Home of India's first agriculture university : *The GB Pant University of Agriculture and technology*
- Home of reputed institutes like : *Uttarakhand Technical University and Doon University*
- Future developments : *New IIM at Kashipur, expansion of NIT at Srinagar (Garhwal)*
- Edge Uttarakhand : *Literacy rate in Uttarakhand is 71.6% (national average 64.8%)*

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Initiatives for Skilling

- Well equipped entrepreneurship development center for training local youths across the state
- Dedicated Uttarakhand Skill Development Mission (UKSDM) across 39 sectors